

## ANNEX H

### SUSTAINABILITY NATIONAL.1

3. Sustainability (new context of the extension): What is the likelihood that initiatives and gains will continue after completion of the project?
- 3.1 What gaps need to be addressed, within the Mission and externally, by the host government? (Noriel)
- 3.2 Were sustainability mechanisms integrated in the design and implementation of STRIDE? What were the intended or unintended results? (Note: Based on the 2019 MEL Plan, page 35, the recommendations will be made during project closeout meetings.) (Noriel to validate with the RTI).

KII NATIONAL LEVEL 3.1.1 QUESTION: NEDA-N/A			
RESPONSES OF KI	CODE	REMARKS 1 = agree 0 = disagree (Please replace with appropriate code)	DOCUMENT
DOST 3.1.1: Has an impact evaluation	R&D Ecosystem	1	
DTI 3.1.1: Impact evaluation/monitoring is done by NEDA as head of the Secretariat		1	
DTI 3.1.1: DTI has its own internal monitoring		1	
DTI 3.1.1: Capacity building (from STRIDE), RIIC	Capacity building	1	

**KII NATIONAL LEVEL 3.2 QUESTION (NOTE IF APPLICABLE TO THE INFORMANT’S CONTEXT, E.G. PASUC) NEDA-N/A**

RESPONSES OF KI	CODE	REMARKS 1 = agree 0 = disagree (Please replace with appropriate code)	DOCUMENT
DTI 3.2: Yes. Enabling mechanism is collaboration	Partnership	1	
DTI 3.2: Support fund from DTI for RIICs (lack of resources)	Resources	1	
DTI 3.3: RIICs in the long term, ask regional office to commit resources, and also using internal resources		1	
DTI 3.3: Some regions don’t have the funds but can get funds from RAPID program soft loan from IFAD, get some supplement, to give funds from the four RIICs. Government funds also can be tapped. For capacity building, stride is the source of funds., to improve skills		1	
DTI 3.2: CHED developed mentoring on research – SUCs have different programs and focus but sometimes overlap as SUCs have deliverables. It is good that STRIDE, being a non-partisan entity, can link with the industry but have limited funds. Huge R&E funds can come from government agencies like DOST, DTI, and CHED. STRIDE introduced opportunities for the national government agencies to link, network,	Context specific approaches	0	
DTI 3.2: Invest in high level- low level SUCs- capability building, less access, investment in people, high level people,			

### KII NATIONAL LEVEL 3.3.1 QUESTION

RESPONSES OF KI	CODE	REMARKS 1 = agree 0 = disagree (Please replace with appropriate code)	DOCUMENT
DOST 3.3.1: RDIs are part of the communication competency framework		1	
MSME 3.3.1: Communication and linkage	Communication strategies	1	
DOST 3.3.1: USAID, apolitical, pagpalit ng admin baka wala na. how to make it stick? Dean -Engineering Energy for Technology (scholarship program for engineering) Niluklok sa DOST para meron money always. Secretary is incorruptible	Non-partisan program	1	
DOST 3.3.1: STRIDE will be completed by 2022; with the change in administration all will change; the main challenge is how to continue with the change in administration		1	
DOST 3.3.1: Special order, R and D com team created by special order	Policies	1	
DOST 3.3.1: Research com, research institutes. R and D com, business process analysis	R&D ecosystem	1	
MSME 3.3.1: Collaboration, with DTI and the DOST	Partnership	1	

3.3 What were the lessons learned that may support the sustainability of the project in the context of HEI, regulatory environment, and government capacity for innovation? (I, A,C)

**KII NATIONAL LEVEL 3.6 QUESTION**

RESPONSES OF KI	CODE	REMARKS 1 = agree 0 = disagree (Please replace with appropriate code)	DOCUMENT
DOST 3.6: Through the R&D Communication Group where each unit under DOST is represented (12 units: 4 Councils, 7RDIs, and TAPI)	Communication strategies	1	
MSME 3.6: Funding for coaching/mentoring (government-industry-academe)	Resources	1	
NEDA 3.6: Diversity of faculty members should be encouraged (like Singapore), or foreign Universities to put up a Campus in the Philippines		1	
PASUC 3.3: CHED and PASUC are linked; willing to work with CHED, stronger IES more collaboration	Partnership	1	
PASUC 3.6: STRIDE has supported PASUC a lot. Build an inclusive improved relevant R and D ecosystem	R&D Ecosystem	1	
NEDA 3.6: Catch up plan: send students abroad to study and return to Philippines Schools could not hire foreign Professors in courses with or without Board examination	Catch-up plan	1	

## KII NATIONAL LEVEL 3.7 QUESTION

RESPONSES OF KI	CODE	REMARKS 1 = agree 0 = disagree (Please replace with appropriate code)	DOCUMENT
DOST 3.7: Personality – willingness/openness of the person	Attitude		
DOST 3.7: Madami- Competency framework, communicating R and D, digital, and entrepreneurship (researcher who will become entrepreneur)	Communication strategies	1	
DTI 3.7: Phil Innovation Act, things that are in the PIA are already being done, i.e., the RIICs, F and E R, implementing the elements in the law. As long as we will be able to get regular support, can sustain these innovation activities. 300M ,100M DTI, from innovation fund		1	
NEDA 3.7 Human capital	Resources	1	
MSME 3.7: Vaccine, ease of doing business, government policies on corruption, vaccination affecting electronics industry		1	
NEDA 3.7Regulatory environment for innovation	Policies	1	

3.4 In what ways will innovations and R&D outputs be adopted/transferred to the community, government, and industry? (Sustainability, IR2 learning question in the AMELP.) Are partnerships emerging, initiated by STRIDE-related institutions, that may support project sustainability? (C)

**KII NATIONAL LEVEL 3.4 QUESTION:**

RESPONSES OF KI	CODE	REMARKS 1 = agree 0 = disagree (Please replace with appropriate code)	DOCUMENT
CHED 3.4: The collaboration should be made at the onset with the identified beneficiaries of the project. There should be a clear understanding that should community to be tapped for particular research then the outputs should be shared to the community through dissemination activities like training and knowledge transfer	Collaboration	1	
DTI 3.4: NEDA 300M budget	Resources	1	
DTI 3.4: DTI 100M budget		1	
MSME 3.4: Electronics Industry have their own R&D	R&D Ecosystem	1	

3.5 What effect have the KTTO and Career Center activities had on university-industry-government collaboration? How can KTTOs be further developed and improved to meet industry needs and expectations? (Sustainability, IR1 learning question in the AMELP.) How has the PSM contributed to sustainability of the STRIDE interventions? (C, I)

**KII NATIONAL LEVEL 3.5 QUESTION:**

RESPONSES OF KI	CODE	REMARKS 1 = agree 0 = disagree (Please replace with appropriate code)	DOCUMENT
DOST 3.5: R and D com, tuloy tuloy ang mentoring, best way to maintain sustainability is when people feel good, agency R and D team, walang base (virtual) before COVID nag kikita sa Tagaytay (eah agency has a rep in the R and D com) 12 R and D agencies, 4 councils, 7 rdis and TAPI	R&D Ecosystem	1	
DTI 3.5: Yes. For small SUCS which might be grappling with resources, their main concern to what extent they can fund capacity building program for their staff. For medium-higher level SUCs, faculty development needs to be institutionalized because they have access to funding and have the needed infrastructure. Budget for capacity building must be included in their annual budget, establishment of an office to monitor the capacity building, and develop programs for further development. For smaller SUCs, institutionalization of capacity building may not be possible yet.	Context specific approaches	0	
CHED 3.5 There should be different strategies to consider the distinct characteristic of HEIs not just on whether they are small or big but because of their capacity, location, and availability of experts within their HEIs as well as their financial resources. The strategy should not be a one size fits in their approach but focus more on building the capacity of small HEIs for them to become more competitive and research-oriented			

3.6 In what ways has STRIDE provided equal access to opportunities for research and innovation to men and women in the STI sector? (C, I)