

ANNEX H

SUSTAINABILITY REGIONAL.1

3.1 Which STRIDE initiatives will likely continue?

3.1.1 Are policies in place to continue with these STRIDE initiatives after 2021?

POLICIES IN PLACE TO CONTINUE WITH THESE STRIDE INITIATIVES AFTER 2021				
CODE	RESPONSE	KI	CATEGORY	THEME
Resources	The IBR. I really want innovation. The problem is not with policy but with funding. We are doing IBR, but we do not have extra funding for it. I just apportioned some office budget. IBR is with STRIDE and DTI this is for MSMEs. Now we're launching DREAM Project. Dream is an acronym for Digital Services Entrepreneurship Advancement Mentoring. This is for entrepreneurs who are in the free-lance business. So, it's a mentoring program established for this mission. Bottom line, problem is the source of extra funds. Funding is needed. Mentoring me IBR with STRIDE., MSMEs, DREA	DTI 7	Challenges to resources	The institutionalization of RIIC through the passage of resolution and the strengthened collaboration will ensure the sustainability of STRIDE intervention. The national and local policies in place as well as the sustained partnership will ensure the continuity of STRIDE initiatives after 2021.
Policies	Enabling mechanism would be a regional policy so it would be included in the national budget. If that's not there, Regional Director can come up with their own programs on their own initiative e.g., Start-up Island; (regional programs)	DTI 7	Establishment of RIIC through a resolution	
Policies	With policy in place, it can be sustained by having at the region down to province and city to embrace innovation. Innovation cuts across, it is a discipline always thinking out of the box; it is a mindset.	DTI 4A		
Policies	The RDC resolution. We are answerable to one agency; hence there is the assurance to sustain the partnership even if the agencies will not gel, there is no choice but to act. There is a need to report the accomplishments.	DOST 4A		
Policies	The resolution of the RDC to create the RIIC.	NEDA 4A		
Policies	The RIIC was endorsed by RDC. Using the endorsement, an authorization to craft more projects and programs. I am not sure by our partners, but they are encouraged to package programs and projects. I came from DTI, and I noticed that most of our projects not locally generated.	DOST 10		
Catch up plan	Yung RIICs. For us in DOST we like and believe in the idea of that, and we would want to continue it still. We are currently looking for partners because COVID has already became manageable. We will be continuing what we started as mentioned earlier to maximize the value.	DOST 7	Extending RIIC to all regions	

Catch up plan	The RIIC was endorsed by RDC. Using the endorsement, an authorization to craft more projects and programs. I am not sure by our partners, but they are encouraged to package programs and projects. I came from DTI, and I noticed that most of our projects not locally generated.	DOST 10	
Partnership	Most evident is on collaboration. The resolution of the RDC to create the RIIC.	NEDA 4A	Sustained partnership to continue the initiatives of STRIDE
Partnership	There is a memorandum of agreement, it is already binding. Next is the RDC resolution which will update and remind the commitments per agency	DOST 4A	
Partnership	The RDC resolution. We are answerable to one agency; hence there is the assurance to sustain the partnership even if the agencies will not gel, there is no choice but to act. There is a need to report the accomplishments.	DOST 4A	
Partnership	With the OROBEST and ILIGANice are in place, these 2 organizations will be the beneficiaries of the tools and assistance by STRIDE. Definitely this will continue. This will be translated into the implementation of programs and the use of these tools. Strengthening and collaborating of all players in the innovation ecosystem.	DTI 10	
Partnership	BSU as the center is answerable to the Board of Regents [BOR] of the University. Because RIIC is endorsed by BOR, BSU reports the highlights of their annual accomplishments. There is annual reporting of the President of the Board.	DOST 4A	
Attitude	Innovation cuts across, it is a discipline always thinking out of the box; it is a mindset.	DTI 4A	
Aligned with agency program	Yes, because these aligns with the DTI's goal to really drive for innovation. That is in our MSME development plan. That is the blueprint of our MSME development agenda. That is part of the goal that we really drive for innovation, especially with that national strategy on the RIIC program, together with the STRIDE initiative, we are now in that task of implementing of all these interventions.	DTI 10	

3.2 Are STRIDE interventions within the HEIs/government research agencies/industry recognized? (This can include giving a favorable environment for trained faculty and staff to stay or providing internal funding to continue STRIDE's interventions.)

STRIDE INTERVENTIONS WITHIN THE HEIS/GOVERNMENT RESEARCH AGENCIES/INDUSTRY THAT ARE RECOGNIZED				
CODE	RESPONSE	KI	CATEGORY	THEME
Catch up plan	Yes, RIIC is recognized at the region. RIICs will become nationwide. Sec Ramon Lopes in their planning workshop stated that this will be the intervention nationwide not only at the region but also in the provinces. It is one of the ten commandments of Sec Ramon Lopez.	DTI 4A	Extending RIIC to all regions	The STRIDE interventions are aligned with the programs of government agencies; hence, the likelihood of not only being
Aligned programs	Yes, because the activities of STRIDE were aligned with what we were doing, and we already pursued that. It is already in our programs, so it already became part of the companies who we talked about.	DOST 7	Alignment of STRIDE programs with the	

government agencies sustained but expanded nationwide.

3.3 Are activities of STRIDE included in the long- term R&D plans of the HEIs?

3.3.1 What is the likelihood that these can be included?

ACTIVITIES OF STRIDE INCLUDED IN THE LONG- TERM R&D PLANS OF THE HEIS				
CODE	RESPONSE	KI	CATEGORY	THEME
Resources	Enabling mechanism really is funds.	DTI 7	Financial resources	The establishment of RIIC and the presence of policies, joint research and development programs, projects, and activities, and leadership are the enabling mechanisms that the interventions of STRIDE can be included in the long-term plan of partners.
Attitude	Funding of course is a challenge. We have to pay the honorariums and so on. I am an optimistic so I'm sure we will get funding since they're not that expensive also.	DTI 7	Leadership and attitude	
Non-partisan program	As long as I am the Regional Director.	DTI 7		
Non-partisan program/Leadership	If this is something that's just here in a few hands, then it really depends on who is sitting and making decisions. For me, if I have the funds to do it then I will. I have been an advocate for innovation way before RIICs and is very important in Region 7.	DTI 7		
Policies	Our existing policies are there, and they are for 2021 onward.	DOST 7	Policies and partnership in place	
Policies	Enabling mechanism would be a regional policy so it would be included in the national budget. If that's not there, Regional Director can come up with their own programs on their own initiative e.g., Start-up Island; (regional programs). Enabling mechanisms really is funds.	DTI 7		
Policies	The mechanism is there. At the regional level is the is the BSU RIIC. What is important is that the model can be replicated and can attract why RIIC is good. The only requirements of Sec. Ramon Lopez are a creative, smarter, and innovation oriented MSMEs.	DTI 4A		
R&D Ecosystem	Definitely. Our mandate is on R&D, we are committed to RIIC, we support the initiatives of RIIC. In the coffee proposal. I want to include a technology road map as part of the deliverables of the project so that we know we are going, where we are in coffee processing, product development, and agricultural production.	DOST 4A	Specific roles for RIIC stakeholders in the transfer of technology in the community	
R&D Ecosystem	Enduring the pandemic. Will the pandemic end? That's the challenge. The challenge is how do we see the future in this condition? Currently we can't forecast the situation for future scenarios.	DOST 7		

Catch up plan	Yes, because the activities of STRIDE were aligned with what we were doing, and we already pursued that. It is already in our programs, so it already became part of the companies who we talked about.	DOST 7	Extending RIIC to all regions
Collaboration	Sustain the activities, programs, and projects for RIIC. Dapat masustain ang init ng collaboration. Masusustain both the growth is not what is expected. It will not die but it will affect the growth ng program	DOST 4A	Continuing collaboration and support ng RIIC
Partnership	Sustain the activities, programs, and projects for RIIC. Dapat masustain ang init ng collaboration. Masusustain both the growth is not what is expected. It will not die but it will affect the growth ng program	DOST 4A	

3.4 What are the principal linkages for innovations and R&D outputs to be adopted/transferred?

3.4.1 Community

3.4.2 Government

3.4.3 and Industry?

PRINCIPAL LINKAGES FOR INNOVATIONS AND R&D OUTPUTS TO BE ADOPTED/TRANSFERRED				
CODE	RESPONSE	KI	CATEGORY	THEME
Partnership	There is still needed to put on board DA because it is an important enabler. The beneficiaries also include the LGUs, which should also be actively engaged to transfer any innovation. It is possible that innovations are existing but not yet cascaded where technology is needed. This is where the LGUs and industry must be actively involved with. As such, technology generation from the RDIs and SUCs generation to utilization by the industry will be part of the RIIC plus the support of the government.	DOST 4A	Continuing collaboration and support to RIIC	There are specific roles that the partners, including the SUC, LGU and government agencies and industry, play in transferring the outputs of research and development to the community, government, and industry. Moreover, possible partners as investors like cooperative and returning overseas Filipino workers who could be tapped to
Partnership	RIIC as driver for innovation, branding and marketing. Most important is involving all the stakeholders through the industry clustering. DTI planning is though value chain approach to see how all the players connected to each other.	DTI 4A		
Partnership	When we talk about on Innovation Eco-system investors or capitalist, that is what we need more to find a further engagement. There is a possibility of participation of First Community Cooperative (FICCO.) Maybe we can tap them as a non-traditional source of venture capital. There are also Cagayan de Oro overseas workers, they can also be a source of investments.	DOST 10		

Partnership	These 3 are part and parcel of the RIIC program. The RIIC consolidates all the innovation stakeholders in 1 ecosystem/ each agency would have specific roles. In term of adoption of transfer of these outputs to the community, as far as DTI the MSME has assisted in this sector, how many SSF have been established, we have specific performance metric as far as innovation.	DTI 10	sustain the gains of RIIC.
Partnership	For OROBEST, the LGU has an important role because there is a bigger perspective here on the competitiveness of the local government. Innovation is one of the pillars. LGUs would have a significant role in the innovation ecosystem. In fact, they are part and parcel of these things. For OROBEST, the LGU is already there.	DTI 10	

3.5 Is there a difference in strategies to institutionalize capacity-building programs between small and big HEIs?

DIFFERENCE IN STRATEGIES TO INSTITUTIONALIZE CAPACITY-BUILDING PROGRAMS BETWEEN SMALL AND BIG HEIS				
CODE	RESPONSE	KI	CATEGORY	THEME
NA	No responses			

3.6 How can mentoring programs as introduced by STRIDE be sustainable?

MENTORING PROGRAMS AS INTRODUCED BY STRIDE BE SUSTAINABLE				
CODE	RESPONSE	KI	CATEGORY	THEME
Resources	Funding and adoption into the National Program done in Manila. We can do it here, but we will adopt it as per our own initiative.	NEDA 4A	Need funding for mentoring activities	Sustaining the capacities gained from STRIDE through the integration of technology
Early implementation	It would be best if the RIIC started early, same as in Bicol. There will be more mentoring. At present, mentoring in Calabarzon is not as extensive as in Region 5. Time element is the challenge.	DOST 4A	Need time for mentoring for sustained STRIDE innovation	innovations as part of the extension function of universities; encouraging companies to search for outside consultants; and
Capacity-building	Before STRIDE, mentoring program of DTI is through Go Negosyo up to present.	DTI 4A	Engaging local colleges to capacitate the stakeholders	

Capacity-building	Building up local capabilities. They also engage on local colleges, Xavier University, to prepare business plan for recovery. I know that the faculty members will learn new things.	DOST 10		applying the lessons learned in previous activities. Moreover, timing and funding are important in sustaining the mentoring programs from STRIDE.
Capacity-building	Yes, part of the STRIDE assistance is actually sharing all these tools and methodologies of which we have also adopted in our programs. That is what I meant in saying that the tools of USAID STRIDE gave us and shared to us, some of it are being applied in our programs as well. Sustainability is assured in terms of seeing to it that the STRIDE lessons are being applied accordingly.	DTI 10		
Communication strategies	It is really on the application and implementation of these programs. Making it sustainable is the application and implementation of these tools.	DTI 10	Better communication plans	

3.7 What is the requisite policy environment for sustainability of STRIDE interventions in the HEIs?

REQUISITE POLICY ENVIRONMENT FOR SUSTAINABILITY OF STRIDE INTERVENTIONS IN THE HEIS				
CODE	RESPONSE	KI	CATEGORY	THEME
Institutionalized programs	Regular programs at the National Level so it could be included in the budget. Regular programs in national program	DTI 7	Regular programs should be institutionalized	Sustaining the gains of STRIDE interventions require programs, projects, and activities consistent with the national policies. These can be formulated into medium term development plan and specified through process manuals, projects, products, and services. Moreover, collaboration and budget are necessary
Institutionalized programs	Maybe the institutionalization, like the fund that we started to integrate in the budget. It is because if the funds are adhoc, there could be a change in commitment.	DOST 10		
Partnership	Polices and mechanisms are in place, with monitoring and evaluation– RIIC targeting the SMEs and additional policies would be most welcome;	NEDA 4A	Continuing collaboration and support to RIIC	
Partnership	Continuing collaboration and support of RDC to RIIC, investment promotion group and sectoral committees which can address the challenges to continue the operationalization of the STIs should be included.	NEDA 4A		
Aligned with agency program	The inclusion of the STRIDE in DTI innovation program, as far as DTI [is concerned] is already part and parcel of our innovation program.	DTI 10		
R&D Ecosystem	NEDA can do a post evaluation study of STRIDE to determine immediate results and outcomes. Meanwhile, to determine the long-term benefits STRIDE, NEDA may conduct an impact evaluation after five years of implementation. NEDA encourages the conduct of impact evaluation on priority projects in the region to determine its sustainability.	NEDA 4A	Prior engagement in research with government and private industries	

Policies	At the LGU level, an ordinance is needed in order to advocate for the enhancement of the coffee industry. The concept of IPR geographical indication, though at the moment IPOPHIL [Intellectual Property Office of the Philippines] does not have, is similar to Swiss Chocolate, is also needed. DOST national is also advocating for geographical indication. The nearest of what we have is trademark in terms of policy.	DOST 4A	Consistent policy across administration
Catch up plan	RIIC is a specific intervention for the improvement of the regional IE. US STRIDE can help more on how to contextualize IE not only to a specific industry but the IE in the region. The IE is general, but the uniqueness of industries is large. Worth pursuing in the RIIC is to cascade the Regional Innovation System to other industry setting. Moreover, there is a need to cascade the NIS to contextualize it at the RIS [Regional Innovation System].	DOST 4A	Cascading of the Regional Innovation System to other industries
Catch up plan	There has to be medium term plan for RIIC, for 2022 to 2030 anchored in the Ambisyon Natin 2040 which needs to be adjusted because of the pandemic.	DTI 4A	
Resources	Maybe the institutionalization, like the fund that we started to integrate in the budget. It is because if the funds are adhoc, there could be a change in commitment.	DOST 10	Need funding for mentoring activities