

ANNEX I.3

KII NEDA REGION 3

Objective: To describe how national innovation policies are articulated at the regional/local levels of STI related agencies and how STRIDE regional activities influenced these.

Date:

Agency/Office: NATIONAL ECONOMIC AND DEVELOPMENT AUTHORITY REGIONAL OFFICE 3

Respondent: GINA T. GACUSAN

Gender (M/F): F

Designation: REGIONAL DIRECTOR

Nature of Engagement with STRIDE (current or previous years): Technical assistance in the establishment of the Regional Inclusive Innovation Center in Central Luzon

1. RELEVANCE

To what extent has STRIDE contributed to addressing the development challenges as outlined in the Filipinnovation Roadmap of the PDP, 2017–2022, USAID Policy on Education, and USAID/PH’s new higher education program framework?

| Item | Answer |
|--|--|
| 1.1 Did your agency have programs on innovation capacity before the STRIDE intervention? | Yes ___ No X |
| 1.2 What was the value addition of the STRIDE intervention? | The establishment of the RIIC with the assistance of STRIDE has provided a mechanism to strengthen industry-academe innovation collaboration in the region |

1.3 Based on your perception, how has the STRIDE intervention contributed to improvement of the innovation ecosystem in your agency? Rate according to high, medium, or low the influence of the five elements. Explain the enabling environments for each rating.

| IE element | Rating (H,M,L) | Explain enabling Environment |
|---------------------------------|----------------|---|
| human capital and education | L | Because NEDA 3 is not a stand-alone innovation implementing agency, all elements are rated LOW. |
| research and knowledge creation | L | |

| | | |
|-------------------------|---|--|
| knowledge transfer | L | However, the STRIDE intervention that was implemented in the region through the RDC, DOST 3, DTI 3, and CHED 3 has contributed improvements in in collaboration and knowledge transfer in the region through their mapping, linking, and aligning activities |
| start-ups and spin-offs | L | |
| collaboration | L | |

| | |
|---|---|
| 1.4 What are the challenges and opportunities for NEDA to foster a robust innovation ecosystem? | |
| Challenges | The challenge is always on the adaption and implementation of the plans and policies being pushed by the administration. |
| Opportunities | As a socioeconomic planning agency, NEDA 3 is at the best position to introduce and push for policies that would foster an enabling environment for innovation in the region. |

2. EFFECTIVENESS

To what extent did STRIDE achieve the three (3) intermediate results (IRs) on improved higher education institutions’ capacity for innovation, improved regulatory and policy environment for innovation, and improved government capacity for innovation?

| 2.1 Which of the following STRIDE strategies contributed more to the improved capacity to innovate? Rank among the following: | | |
|---|-------------------------------|---|
| Strategies | Rank 1=highest 4 lowest | Please explain the ranking |
| technical assistance and its various forms | 3 | THE ESTABLISHMENT OF THE RIIC’s main contribution is in the strengthening of network, increasing synergy and resource-sharing among innovation programs and services through their mapping-related activities |
| strengthening links between innovation stakeholders | 4 | |
| policy improvements | 2 | |
| institutionalization of STRIDE capacity-building programs | 1 | |

| Questions | Answers |
|---|--|
| 2.2 Revisiting the Innovation Ecosystem Assessment, on which mechanisms (procurement, R&D funding, intellectual property policy, collaboration, entrepreneurship) has STRIDE made the greatest impact? Please explain | The establishment of the RIIC has greatly impacted the collaboration of stakeholders in the region. By establishing a business innovation unit in the region, MSMEs now have access to information on the technical expertise and capabilities of the HEIs, partners who can provide resources or supply requirements of the industry, and availability of facilities and innovation resources. This information can help the stakeholders make better business decisions. |
| 2.2.1 To what extent did the STRIDE interventions improve your strategies to increase R&D funding in your agency? | (NA) |
| 2.2.2 What are some of the challenges for doing industry- responsive innovative research? | NA |
| 2.2.3. What still needs to be done in R&D grants policies to promote an improved innovation ecosystem? | NA |
| 2.2.4 What was the contribution of STRIDE in improving policy environment on procurements of R&D-related transactions? | NA |
| 2.2.5 How has STRIDE interventions influenced the improvement of existing rules and guidelines on generating bids and quotations for R&D items/ equipment in your institution? (i.e., too long process and the reasons for this?) | NA |
| 2.2.6 What still needs to be done in procurement policies to promote an improved innovation ecosystem? | NA |
| 2.2.7 In what ways has STRIDE interventions influenced the improvement | NA |

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| of internal policies and manuals in your institution on Intellectual Property Rights (IPR)? | |
|---|--|

3. SUSTAINABILITY

What is the likelihood that initiatives and gains will continue after the completion of the project?

| Question | Answer |
|---|--|
| 3.1 Which STRIDE initiatives will likely continue? | The operation of the Business Innovation Unit under the RIIC |
| 3.1.1 Are policies in place to continue with these STRIDE initiatives after 2021? | Yes, the DOST 3 and DTI 3, as co-leads, are on top of making sure the initiatives will continue after program completion |
| 3.2 Are STRIDE interventions within the HEIs/government research agencies/industry recognized? (This can include giving a favorable environment for trained faculty and staff to stay, or providing internal funding to continue STRIDE's interventions.) | (NA) |
| 3.3 Are activities of STRIDE included in the long- term R&D plans of the HEIs? | (NA) |
| Of research institutions? | (NA) |
| Of industry? | (NA) |
| 3.3.1 What is the likelihood that these can be included? | (NA) |
| Enabling mechanisms? | (NA) |
| Challenges? | (NA) |
| 3.4 What are the principal linkages for innovations and R&D outputs to be adopted/transferred to the community, government, and industry? (Sustainability, IR2 learning question in the AMELP.) | NA |
| 3.5 Is there a difference in strategies to institutionalize capacity-building programs between small and big HEIs? | (NA) |

| | |
|--|----|
| 3.6 How can mentoring programs as introduced by STRIDE be sustainable? | NA |
| 3.7 What is the requisite policy environment for the sustainability of STRIDE interventions? | NA |

(NA means Not Applicable)

END of KII

Note taker- Name _____