

APPENDIX A.2

CAPACITY FOR INNOVATION SURVEY (RIIC/GIA)

Good day!

We would like to let you know that as a recipient of Science, Technology, Research and Innovation for Development (STRIDE) Program of USAID, you were selected to take part on the survey. This activity is part of the evaluation study of the STRIDE Program.

Before you decide to participate in this study it is important that you understand why this is being done and what it will involve. Please read the following information carefully and ask the evaluator if there is anything that is not clear or if you need more information.

PROCEDURE

In this survey, we will be asking you some questions on the nature of your participation and outputs attributed to the STRIDE Program. The survey can be completed in 10-15. Your participation in this evaluation study can provide insights on how the STRIDE program can move forward to strengthen capacity for innovation of HEI's faculty and staff, regulatory environment for innovation, and government capacity for innovation.

CONFIDENTIALITY

For the purposes of this study, your identity will be anonymous. Every effort will be made by the evaluator to preserve your confidentiality.

CONTACT INFORMATION

If you have questions at any time about this study, you may contact the evaluator whose contact information is provided below:

MS. INDIRA NICANOR
indiranicanor@panagoraphilippines.net

VOLUNTARY PARTICIPATION

Your participation in this study is voluntary. It is up to you to decide whether or not to take part in this study. If you decide to take part in this study, you will be asked to sign a consent form by ENTERING YOUR NAME in the consent section of this google form.

Email: _____

CONSENT

I have read and I understood the provided information. I understand that my participation is voluntary and that I am free to withdraw at any time, without giving a reason and without cost. I understand that I will be given a copy of this consent form. [PLEASE ENTER YOUR NAME BELOW]

I. DEMOGRAPHIC PROFILE

Name (Optional): _____

Age: _____

Sex at birth (Male/Female): _____

Highest Educational Attainment: _____

Institutional Affiliation:

Town/City: _____

Province: _____

Region: _____

Designation: _____

Role in the RIICs/GIA (generator of technology, user of technology, funder, facilitator, etc.):

B-1. PRODUCT INNOVATION [GOODS]

A product innovation is the market introduction of a new or significantly improved good or service with respect to its capabilities, user friendliness, components or sub-systems.

Goods innovations pertain to a tangible object such as a COVID 19 testing kit, contract tracer application, journal publication (etc.). A service innovation is usually intangible, STI courses, training, workshop, modules, partnerships etc.

B.1. During the three-year extension, 2018 to 2021, did your institution introduce the following Goods innovations under STRIDE Project:

B-1. Product Innovation [Goods] Questions	YES	NO	I HAVE NO IDEA
B.1.1. Equipment			
B.1.2. Journal publications			
B.1.3. Software applications			
B.1.4. Others [Please describe] _____			

B.2. Who developed these Goods innovations?

_____ Your institution by itself

_____ Your institution together with other organizations

_____ Your institution by adapting or modifying goods or services originally developed by other institutions/organizations

_____ Other institutions or organizations

B-3. PRODUCT INNOVATION [SERVICE]

B.3. During the three-year extension, 2018 to 2021, did your institution introduce the following service innovations under STRIDE Project:

B-3. Product Innovation [Service] Questions	YES	NO	I HAVE NO IDEA
B.3.1. Professional Science Master Curriculum			
B.3.2. Knowledge Technology Transfer Office			
B.3.3. Career Centers			

B.4. Who developed these Service innovations?

_____ Your institution by itself

_____ Your institution together with other organizations

_____ Your institution by adapting or modifying goods or services originally developed by other institutions/organizations

B.5.1 Were any of your product innovations (goods or services) under the STRIDE Program during the three years, 2018 to 2021, new to your discipline? _____ Yes _____ No _____ I have no idea

B.5.2 Were any of your product innovations (goods or services) under the STRIDE Program during the three years, 2018 to 2021, only new to your institution? _____ Yes _____ No _____ I have no idea

C. PROCESS INNOVATION

During the three years 2018 to 2021, did your institution introduce the following:

C. Product Innovation Questions	YES	NO	I HAVE NO IDEA
C.1.1 New or significantly improved methods of manufacturing for producing goods or services under STRIDE			
C.1.2. New or significantly improved logistics, delivery or distribution methods for your inputs, goods or services under STRIDE			
C.1.3 New or significantly improved supporting activities for your processes, such as maintenance systems or operations for purchasing, accounting, or computing under STRIDE			

B.4. Who developed these Goods innovations?

_____ Your institution by itself

_____ Your institution together with other organizations

_____ Your institution by adapting or modifying goods or services originally developed by other institutions/organizations

_____ Other institutions or organizations

D. ACTIVITIES AND EXPENDITURES FOR PRODUCT AND PROCESS INNOVATIONS

During the three years 2018 to 2021:

D. Activities and expenditures for product and process innovations Questions	YES	NO	I HAVE NO IDEA

D.1 Did your institution engage in in-house R&D activities to create new knowledge or solve scientific or technical problems?			
D.2. If yes, did your institution perform R&D during the three years 2018 to 2021: _____ Continuously (your institution had permanent R&D staff in-house) _____ Occasionally (as needed only)			
D.3 Did your institution engage in external R&D by contracting-out R&D to other institution or to public or private research organizations?			
D.4 Did your institution acquire advanced machinery, equipment, software and buildings to be used for new or significantly improved products or processes?			
D.5. Did your institution acquire existing know-how, copyrighted works, patented and non-patented inventions, etc. from other institution or organizations for the development of new or significantly improved products and processes			
D.6. Did your institution carry out in-house/contracted out training for your personnel specifically for the development and/or introduction of new or significantly improved products and processes			
D.7 Did your institution carry out in-house/contracted out activities for the market introduction of your new or significantly improved goods or service.			
D.8 Did your institution carry out in-house/contracted activities to alter the shape, appearance or usability of goods or services			

E. PUBLIC FINANCIAL SUPPORT FOR INNOVATION ACTIVITIES

During the three-year extension, did your institution receive any public financial support for innovation activities from the following levels of government?

E. Public Financial Support for Innovation Activities Questions	YES	NO	I HAVE NO IDEA
E.1 Local or regional authorities			
E.2 Central government (including central government agencies or ministries)			

F.1. CO-OPERATION FOR PRODUCT AND PROCESS INNOVATION ACTIVITIES

During the three years, did your institution co-operate on any of your innovation activities with other institution or organizations NOT related to Project STRIDE? _____ Yes _____ No _____ I have no idea

F.2 TYPE OF INNOVATION COOPERATION PARTNER

Please indicate the type of innovation co-operation partner by location.

F.2. Type of innovation cooperation partner	Philippines	Other Countries	Not Applicable
A. Other institution within your institution group			
B. Suppliers of equipment, materials, components, or software			
C. Clients or customers from the private sector			
D. Clients or customers from the public sector			
E. Competitors or other enterprises in your sector			
F. Consultants or commercial laboratories			
G. Universities or other higher education institutes			
H. Government, public or private research institutes			

F.2.1 Which type of co-operation partner was the most valuable for your institution's innovation activities?

.....

F.2.2 Reason for your answer in F.2.1:

G. REGULATORY ENVIRONMENT FOR INNOVATION

During the three years of interventions of STRIDE Project, did your institution introduce:

G. Regulatory environment for innovation Questions	YES	NO	I HAVE NO IDEA
G.1 Improved procurement policy			
G.2 improved policies for research incentives			
G.3 Improved policies for extension services			
G.4 Improved application for utility model			
G.5 Improved approval for utility model			
G.6 Improved approval for IP patent			
G.7 Improved scientific workforce (people services)			
G.8 Science-based guidelines			
G. 9 New laboratories, institutions, and training programs			

H. INTELLECTUAL PROPERTY RIGHTS AND LICENSING

During the three years, did your institution:

H. Intellectual property rights and licensing	YES	NO	I HAVE NO IDEA
H.1 Apply for a patent			
H.2 Register an industrial design right			
H.3 Register a trademark			
H.4 License out or sell a patent, industrial design right, copyright or trademark to another enterprise, university or research institute			

H. 5 License in or buy a patent, industrial design right, copyright or trademark owned by another enterprise, university or research institute			
--	--	--	--

H. RANK INTERVENTIONS

Which of the following STRIDE strategies contributed more to the improved capacity to innovate?
From 1-4, rank the following interventions of STRIDE:

Strategies	Rank 1	Rank 2	Rank 3	Rank 4
Technical assistance and its various forms				
Strengthening links between innovation stakeholders				
Policy improvements				
Institutionalization of STRIDE capacity building programs.				

Please explain ranking:

DEGREE OF COLLABORATION

How would you rate the degree of collaboration in the RIICs/GIA? From 1 to 5, where 1= not close (does not meet regularly) 5=very close (meets regularly; sends/shares reports regularly):

1 2 3 4 5