

## **APPENDIX B.1**

### **CASE STUDY/RIIC REGIONS 3**

(Storyline: What are the elements that bring key players in STI from government, industry and academe together (or apart) towards strengthening innovation ecosystem and collaboration/partnership via the RIICs)

#### **CASE STUDY QUESTIONS (KII) WITH RIIC LEAD**

##### **RESPONDENT INFO**

Date: \_\_\_\_\_

Name: \_\_\_\_\_

Sex: M/F \_\_\_\_\_

Agency: \_\_\_\_\_

Designation: \_\_\_\_\_

Role in RIIC: \_\_\_\_\_

Project Setting: (Urban, Suburban, Rural): \_\_\_\_\_

Specify location unit of analysis- (city, province, whole region?): \_\_\_\_\_

##### **A. PLANNING THE RIIC**

1. Why did you establish an RIIC in the region?
2. For partners, why did you join the RIIC in the region?
3. Why was your location chosen for the RIIC implementation?
4. Is the proximity to the Cities Development Initiative (CDI) a factor in the selection of your location?  
Yes \_\_\_ No \_\_\_
  - 4.1 If yes, how did the CDI influence RIIC formation?
5. Are there other similar projects as the RIICs in your location? Yes \_\_\_ No \_\_\_
  - 5.1. If yes, how did the STRIDE initiated RIIC add value to your projects?
6. Towards convergence: What were the planning challenges and how were these overcome? (project management, budget, capacities etc.)?

7. What are the market opportunities (local, regional, foreign, etc.) of the RIIC in your area?
8. What are the R and D opportunities of the RIIC in your area? (Probing: are there SUCs, knowledge creation centers, technical schools)?
9. Before the RIICs, was there any link between the academe and the industry in your location? (i. e. through the GIA program of the academe/DOST?)

## B. IMPLEMENTATION

1. What have been the gains of the RIIC so far?
  - 1.1 (probe) Please compare to the initial condition, i. e. without the RIIC.
2. Towards Convergence: What are the implementation challenges? (i.e., funding, capacities, distrust, lack of policies, lack of matching between academe and industry, etc.)
  - 2.1 How were these overcome?
3. What are the partnership challenges? Please specify.

## C. EVALUATING EFFECTIVENESS

1. What are the roles of partners as follows:
  - 1.1 government
  - 1.2 academe
  - 1.3 industry
  - 1.4 civil society
  - 1.5 Other partners?
2. What were the essential initial conditions that made this project successful?
3. Are there other data to demonstrate the impact of the RIIC (indicators or measures of success such as increased productivity, increased network, increased sales, increased participation of MSME, others)?

#### D. MAKING IMPROVEMENTS

1. What strategies do you have in mind to strengthen collaboration among agencies?

1.1 Strategies to attract more partners?

1.2 Strategies to sustain the partnership/convergence?

1.3 Any challenges with the sustainability of partnership?

2. What inspired you to establish your RIIC?

2.1 What lessons were learned from the pilot RIICs?

#### END OF CASE STUDY QUESTIONS

Name of Note taker: \_\_\_\_\_