

APPENDIX D.2

KII QUESTIONNAIRE/ ANSWER SHEET/ DTI REGIONAL

Objective: To describe how national innovation policies are articulated at the regional/local levels of STI related agencies and how STRIDE regional activities influenced these.

Date: _____

Agency/Office: _____

Respondent: _____

Gender (M/F): _____

Designation: _____

Nature of Engagement with STRIDE (current or previous years): _____

EVALUATION CRITERIA QUESTIONS:

1. RELEVANCE

To what extent has STRIDE contributed to addressing the development challenges as outlined in the Filipinnovation Roadmap of the PDP, 2017–2022, USAID Policy on Education, and USAID/PH's new higher education program framework?

Document Review

Item	Answer
1.1 Did your DTI regional office have programs on innovation capacity before the STRIDE intervention?	Yes ___ No ____
1.2 What was the value addition of the STRIDE intervention?	

1.3 Based on your perception, how has the STRIDE intervention contributed to improvement of the innovation ecosystem in your agency? Rate according to high, medium, or low the influence of the five elements. Explain the enabling environments for each rating.

IE element	Rating (H,M,L)	Explain enabling Environment
human capital and education		
research and knowledge creation		
knowledge transfer		
start-ups and spin-offs		
collaboration		

1.4 What are the challenges and opportunities for DTI to foster a robust innovation ecosystem?

Challenges	<ol style="list-style-type: none"> 1. 2. 3.
Opportunities	<ol style="list-style-type: none"> 1. 2. 3.

2. EFFECTIVENESS

To what extent did STRIDE achieve the three (3) intermediate results (IRs) on improved higher education institutions' capacity for innovation, improved regulatory and policy environment for innovation, and improved government capacity for innovation?

2.1 Which of the following STRIDE strategies contributed more to the improved capacity to innovate? Rank among the following:		
Strategies	Rank	Please explain the ranking

	1=highest 4 lowest	
technical assistance and its various forms		
strengthening links between innovation stakeholders		
policy improvements		
institutionalization of STRIDE capacity-building programs		

Questions	Answers
2.2 Revisiting the Innovation Ecosystem Assessment, on which mechanisms (procurement, R&D funding, intellectual property policy, collaboration, entrepreneurship) has STRIDE made the greatest impact? Please explain	
2.2.1 To what extent did the STRIDE interventions improve your strategies to increase R&D funding in your agency?	NA
2.2.2 What are some of the challenges for doing industry- responsive innovative research?	
2.2.3. What still needs to be done in R&D grants policies to promote an improved innovation ecosystem?	NA
2.2.4 What was the contribution of STRIDE in improving policy environment on	NA

procurements of R&D-related transactions?	
2.2.5 How has STRIDE interventions influenced the improvement of existing rules and guidelines on generating bids and quotations for R&D items/ equipment in your institution? (i.e., too long process and the reasons for this?)	NA
2.2.6 What still needs to be done in procurement policies to promote an improved innovation ecosystem?	
2.2.7 In what ways has STRIDE interventions influenced the improvement of internal policies and manuals in your institution on Intellectual Property Rights (IPR)?	

3. SUSTAINABILITY

What is the likelihood that initiatives and gains will continue after the completion of the project?

Question	Answer
3.1 Which STRIDE initiatives will likely continue?	
3.1.1 Are policies in place to continue with these STRIDE initiatives after 2021?	
3.2 Are STRIDE interventions within the HEIs/government research agencies/industry recognized? (This can include giving a favorable environment for	

trained faculty and staff to stay, or providing internal funding to continue STRIDE’s interventions.)	
3.3 Are activities of STRIDE included in the long- term R&D plans of the HEIs?	NA
Of research institutions?	NA
Of industry?	
3.3.1 What is the likelihood that these can be included?	
Enabling mechanisms?	
Challenges?	
3.4 What are the principal linkages for innovations and R&D outputs to be adopted/transferred to the 3.4.1community, 3.4.2. government, 3.4.3 industry? (Sustainability, IR2 learning question in the AMELP.)	
3.5 Is there a difference in strategies to institutionalize capacity-building programs between small and big HEIs?	NA
3.6 How can mentoring programs in DTI as introduced by STRIDE be sustainable?	
3.7 What is the requisite policy environment for sustainability of STRIDE intervention?	

END of KII

Note taker- Name _____