APPENDIX D.4
KII QUESTIONNAIRE/ ANSWER SHEET/ HEIS

Objective: To describe how national innovation policies are articulated at the HEIs and how STRIDE regional activities influenced these.

Date: _____

Agency/Office: _____

Respondent: _____

Gender (M/F): _____

Designation: _____

Nature of Engagement with STRIDE (current or previous years): (i.e. KTTOs, Career Centers, PSM, etc.): _____

EVALUATION CRITERIA QUESTIONS:

1. RELEVANCE

To what extent has STRIDE contributed to addressing the development challenges as outlined in the Filipinnovation Roadmap of the PDP, 2017–2022, USAID Policy on Education, and USAID/PH's new higher education program framework?

Document Review (NA)

Item	Answer
1.1 Did your university have programs on innovation capacity before the STRIDE intervention?	Yes ____ No _____
1.2 What was the value addition of the STRIDE intervention?	

1.3 Based on your perception, how has the STRIDE intervention contributed to improvement of the innovation ecosystem in your university? Rate according to high, medium, or low the influence of the five elements. Explain the enabling environments for each rating.

IE element	Rating (H,M,L)	Explain enabling Environment
human capital and education		
research and knowledge creation		
knowledge transfer		
start-ups and spin-offs		
collaboration		

1.4 What are the challenges and opportunities for your university to foster a robust innovation ecosystem given the STRIDE interventions?

Challenges	1. 2. 3
Opportunities	1. 2. 3

2. EFFECTIVENESS

To what extent did STRIDE achieve the three (3) intermediate results (IRs) on improved higher education institutions' capacity for innovation, improved regulatory and policy environment for innovation, and improved government capacity for innovation?

2.1 Which of the following STRIDE strategies contributed more to the improved capacity to innovate in your university? Rank among the following:		
Strategies	Rank 1=highest 4 lowest	Please explain the ranking

technical assistance and its various forms		
strengthening links between innovation stakeholders		
policy improvements		
institutionalization of STRIDE capacity-building programs		

Questions	Answers
2.2 Revisiting the Innovation Ecosystem Assessment, on which mechanisms (procurement, R&D funding, intellectual property policy, collaboration, entrepreneurship) has STRIDE made the greatest impact in your university? Please explain	
2.2.1 To what extent did the STRIDE interventions improve your strategies to increase R&D funding in your university?	
2.2.2 What are some of the challenges for doing industry- responsive innovative research?	
2.2.3. What still needs to be done in R&D grants policies to promote an improved innovation ecosystem?	
2.2.4 What was the contribution of STRIDE in improving policy environment on procurements of R&D-related transactions?	
2.2.5 How has STRIDE interventions influenced the improvement of existing	

rules and guidelines on generating bids and quotations for R&D items/ equipment in your institution? (i.e., too long process and the reasons for this?)	
2.2.6 What still needs to be done in procurement policies to promote an improved innovation ecosystem?	
2.2.7 In what ways has STRIDE interventions influenced the improvement of internal policies and manuals in your institution on Intellectual Property Rights (IPR)?	

3. SUSTAINABILITY

What is the likelihood that initiatives and gains will continue after the completion of the project?

Question	Answer
3.1 Which STRIDE initiatives will likely continue?	
3.1.1 Are policies in place to continue with these STRIDE initiatives after 2021?	Yes ___ No____
3.1.1.1 If yes, what are these policies?	
3.1.1.2 If no, what policies will be needed?	
3.2 Are STRIDE interventions within the HEIs/government research agencies/industry recognized? (This can include giving a favorable environment for trained faculty and staff to stay, or providing internal funding to continue STRIDE's interventions.)	Yes ____ No _____
3.3 Are activities of STRIDE included in the long- term R&D plans of the HEIs?	
Of research institutions?	(NA)
Of industry?	(NA)
3.3.1 What is the likelihood that these can be included?	
Enabling mechanisms?	

Challenges?	
3.4 What are the principal linkages for innovations and R&D outputs to be adopted/transferred to the 3.4.1 community 3.4.2 government, 3.4.3 and industry? (Sustainability, IR2 learning question in the AMELP.)	
3.5 Is there a difference in strategies to institutionalize capacity-building programs between small and big HEIs?	
3.6 How can mentoring programs as introduced by STRIDE be sustainable?	
3.7 What is the requisite policy environment for sustainability of STRIDE interventions in the HEIs?	

(NA means Not Applicable)

END of KII

Note taker- Name _____